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HHE UNIVERD SHAMES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

Asgrob Seed Company IIC

THERE'S, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC PLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR LING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE VARIETY OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PAY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'AG3702'

In Testimonn Muscost, I have hereunto set my hand and caused the seal of the Plant Unitety Protection Office to be affixed at the City of Washington, D.C. this twenty-fourth day of April, in the year of our Lord two thousand one.

alunk Post

Acting Commissioner Plant Variety Protection Office Agricultural Marketing Service getary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE Application is required in order to determine it a plant variety protection certificate is to be issued (7 U.S.C. 2421) Information is field confidential until certificate is issued (7 U.S.C. 2426)

(Instructions and information collection burden statem	nent on reverse)		ing the control of the department of the con- trol of the control		
1 NAME OF OWNER			2 TEMPORARY DESIGNATION OR 3 VARIETY EXPERIMENTAL NAME	3 VARIETY NAME	
Asgrow Seed Company LLC			AGH36801	AG3702	
4 ADDRESS (Street and No. or R.F.D. No., City, State, and ZIP Code, and	Country)	. sagar a di Sajar di	5 TELEPHONE (include area code)	FOR OFFICIAL USE ONLY	
634 East Lincoln Way	10000000000000000000000000000000000000	and the second	515-232-7170		
Ames IA 50010		e e e e e e e e e e e e e e e e e e e			
		The second of th	6 FAX (include area code)	£ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	
	and the second	+ N	515-956-6905		
				FILING DATE	
7 IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.)	8 IF INCORPORATE STATE OF INCOR	ED, GIVE RPORATION	9 DATE OF INCORPORATION	12/9/1999	
Corporation Delaware		:	March 22, 1968	1 14	
10 NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE I	IN THIS APPLICATION (First	person ksled will re	<u> </u>	FILING AND EXAMINATION	
	aa dhara iyo dadaa		out an paper of	FEES:	
Donald Steffen				18:2450.00	
Asgrow Seed Company LLC		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	er da de la compara de la comp	5 17 19/1999	
634 East Lincoln Way Ames IA 50010			e politica (no esta de la composición de la composición de la composición de la composición de la composición La composición de la	R DATE (L/ / / / C	
130010			en de la companya de La companya de la co	E CERTIFICATION FEE:	
to the control of the		* •		18:320	
				DATE 4-6-01	
11 TELEPHONE (Include area code) 12 FAX (Include area code)) 13. E_M.	Ail	14.0	ODB WIND (Common Alema)	
515–956–3002 515–956–690	"		e.steffen@monsanto.com Soybean		
				Doy bean	
15 GENUS AND SPECIES NAME OF CROP	16. FAN	HLY NAME (Botanic		THE VARIETY A FIRST GENERATION BRID?	
Glycine max . Legur		uminosae 🔲 YES 🖼 NO			
18 CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow spare at the same				
(640/26)	· Olow Misulacians (M	CERTIFIED	OWNER SPECIFY THAT SEED OF THIS SEED? See Section 83(a) of the Plan	VARIETY BE SOLD AS A CLASS OF I Vanety Protection Act)	
a. X Exhibit A. Origin and Breeding History of the Variety b X Exhibit B. Statement of Distinctness	Land Control		YES (If "yes", answer items 20 and 21 below)	NO (If "no," go to item 22)	
c. 😾 Exhibit C. Objective Description of Variety	ille de la companya d	20. DOES THE	OWNER SPECIFY THAT SEED OF THIS	VARIETY BE LIMITED AS TO NUMBER	
d. Z Exhibit D. Additional Description of the Variety (Optional)	The matter of the second	OF GENERA	ATHONS? YES	an <u>Ta</u> asii dhaan	
e. XI Exhibit E. Statement of the Basis of the Owner's Ownership 1. XI Voucher Sample (2,500 viable universed seeds or, for tuber on		<u> </u>		□ ио	
verdication that lissue culture will be deposited and maintained repository)	opagated vaneties, d in an approved public	21 IF YES TO	ITEM 20, WHICH CLASSES OF PRODU	CTION BEYOND BREEDER SEED?	
Fling and Examination Fee (\$2,450), made payable to "Treas. States" (Mail to the Plant Variety Protection Office)	FOUNDATION REGISTERED CERTIFIED				
22 HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL OR A		1 1			
FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, O OTHER COUNTRIES?	OR USED IN THE U.S. OR	23. IS THE VAR PROPERTY	RETY OR ANY COMPONENT OF THE VI RIGHT (PLANT BREEDER'S RIGHT OR	RIETY PROTECTED BY INTELLECTUAL PATENT)?	
☐ YES 📶 NO		☐ YES 👿 NO		₩ NO	
IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITI FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use spa	ON, TRANSFER, OR USE ce indicated on reverse.)	IF YES, PLE REFERENCE	ASE GIVE COUNTRY, DATE OF FILING E NUMBER. (Please use space molicated	OR ISSUANCE AND ASSIGNED	
24 The owners declare that a viable sample of basic seed of the variety will for a tuber propagated variety a lissue custure will be deconsisted in a civil	be furnished with application (······································	
The undersigned corner(s) is less) the corner of this corner by several corner of the		IN THE CONTRACTOR OF E.	III COLUNCALO.		
and is entitled to protection under the provisions of Section 42 of the Plan Owner(s) is (are) informed that (also representation harem can jeopardize	- veriory i rotection estat.		=== u=u verany is new, distinct, uniform, el	AU SUMMO #5 (BUNNING IN SOCION 42,	
SIGNATURE OF DIMERA A	protection and result in pena	T	and the second s		
La Suff	en de la	SIGNATURE OF	F OWNER		
NAME (Please print or type)		NAME (Please p	onat or type)		
Donald Steffen	grand de la companya	The second of th	patrikum propinsi kalendar. Katalogi kalendar	ery end	
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S&T-470 (6-98) designed by the Plant Variety Protection Office with WordPerfer		(03-96) which is obs	olete (See reverse for instruction	and information collection burden stateme	

EXHIBIT A

ORIGIN AND BREEDING HISTORY OF AG3702

- 1994 Cross HP943168 was made near Isabela, Puerto Rico. Parentage: 3HS302-12*(A3313(2)*A3237(3)*40-3-2)
- 1994 -5 F1 & F2 generations were grown near Isabela, Puerto Rico and advanced using modified pedigree selection.
- 1995 F3 Bulk Populations were grown in Oxford, Indiana and single plants pulled.
- F3 derived F4 HP9431806 HY103-33 was grown in Oxford, Indiana in a progeny row yield trial, where it placed 3rd out of 50 and was selected based on yield.
- F3:5 HP9431806 HY103-33 was entered in a yield test at 6 locations in the upper Midwest, where it placed 1ST of 49 entries.
- AG3702 is uniform and stable within commercially acceptable limits based on trial observations since 1996. As with any other soybean variety, variants can occur for almost any characteristic during the course of repeated sexual reproduction.

EXHIBIT B

Novelty Statement Concerning AG3702 Soybean

To our knowledge, the soybean varieties that closely resemble AG3702 are A3313, A3237, and AG3701:

1. Hilum color	AG3702 A3313 A3237 AG3701	Imperfect BlackBlackBlackImperfect Black
2. RR TM gene (Tolerance to glyphosate herbicide)	AG3702 A3313 A3237 AG3701	 Present Absent Absent Present
3. Pubescence color	AG3702 A3313 A3237 AG3701	- Gray - Tawny - Tawny - Gray
4. PRR gene (Tolerance to Phytophthora Root Rot)	AG3702 A3313 A3237 AG3701	- Rps1c - Rps1k - Rps1k - rps

REPRODUCE LOCALLY. Include form number and date on all reproductions

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this collection of information is (0581-0055). The time required to complete this information collection is extimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call (202) 720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MD 20705

EXHIBIT C (Soybean

OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (*Glycine max* (L.) Merr.)

NAME OF	APPLICANT(S)				FOR OFFICIAL USE ONLY	
 Asgro	w Seed Com	pany LLC			PVPO NUMBER	
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code)		VARIETY NAME				
			- ,		AG3702	
	634 East Lincoln Way Ames IA 50010		TEMPORARY DESIGNATION			
			AGH36801			
LEASE RE	EAD ALL INSTRUC	TIONS CAREFULI	Y: Place the appropr	iate number that des	cribes the varietal character of this variety in the boxes below. Place	
standard ma	f 100 plants. Compa by be used to determ yer all questions for y	nine plant colors;	designate system us	arieties entered in th ed:	extively. Data for quantitative plant characters should be based on a ne same trial. Royal Horticultural Society or any recognized color oplication.	
A. MOR	PHOLOGY					
Seed Shap	oe:					
2	1 = Spherical		2 = Spheric	al Flattened		
2	(L/	W, L/T, and T/W	/ ratios - <1.2)	(L/W ratio >1.2; L/T ratio = <1.2)		
	3 = Elongate		4 = Elongate-Flattened			
1.6		T ratio > 1.2; T/W	=<1.2)	(L/T ratio >	1.2; T/W >1.2)	
eed Coat						
1	1= Yellow	2= Green	3= Brown	4= Black	5= Other	
					(Please Specify)	
eed Coat	Luster:					
1	1= Dull	2= Shiny				
eed Size:						
1 5	grams/100 s	eeds				
ilum Col	or:					
5	1= Buff	2= Yellow	3= Brown	4= Gray	5= Imperfect Black	
—	6= Black	7= Other (Pl	ease Specify)			
otyledon	Color:					
1	1 - Vallar	2 – 0				

A. MORPHOLOGY Continued)

_				= 0 0 0 0 0	0
Seed Pro	tein Peroxidase Act	ivity:		= 0 0 0 0 0	vo
	$1 = L_{0W}$	2 = High			
Hypocoty	d Color:				
3	1 = Green Evans' or 'Davis'	2 = Green with Bronze Bands below Cotyledon Woodworth'or'Tracy'	3 = Light Purple below Cotyledons 'Beeson'or'Pickett71'	4 = Dark Purple extending to unifoliate leaves (Hodgson', 'Coker', or' Hampton 266A')	
Leaf Shap	oe:				
3	1 = Lanceolate	2 = Oval $3 = Oval$	ate 4 = Other (<i>Plea</i>	ase Specify)	
Flower Co	olor:				
2	1 = White	2 = Purple 3 = Wh	ite with a Purple Throat		
Pod Color	:				
1	1 = Tan	2 = Brown 3 = Bl	ack		
Pubescenc	e Color:				
1	1 = Gray	2 = Brown (Tawny)	3 = Light Tawny		
Plant Hab	it:				
3	1= Determinate	2= Semi-Determinate	3= Indeterminate	4 = Intermediate	
B. DISEA	ASE REACTIONS	0 = Not Tested	1 = Susceptible 2 = Re	sistant 3 = Tolerant	 .
Bacterial					
0	Bacterial Pustule	e (Xanthomonas campestr	is pv. <i>glycines</i> (Nakano) I	Dye)	
0	Bacterial Blight	(Pseudomonas syringae p	v. glycinea (Coerper) You	ung, Dye &Wilkie)	
0	Wildfire Blight (Pseudomonas syringae pv	. tabaci (Wolf & Foster) Y	oung, Dye &Wilkie)	
Fungal					
0	Brown Spot (Sep	toria glycines Hemmi)			
	Frogeye Leafspot	(Cercospora sojina)			
0	race 1	0 race 2	0 rac	ce 3 0 race 4	
0	race 5	0 race 6	0 Ot	her (<i>Please Specify</i>)	-
0	Target Spot (Cor.	vnespora cassiicola (Berk	& Curt.)Wei)		
0	Downy Mildew (I	Peronospora trifoliorum v	ar. <i>manshurica</i> (Naum.) S	Syd. Ex Gaum)	

B. D	ISEASE REAC	CTIONS Continued) 0	= Not Tested 1 = Susceptible 2 =	= Resistant 3 ₹	Telerant 0 0 0 0 8
0	Powder	y Mildew (<i>Microsphaera diff</i>	usa Cke. & Pk.)	&	000008
0	Brown S	Stem Rot (<i>Phialophora grega</i>	ta (Allington & Chamberlain) W. (Gams.)	•
0	Stem Ca	anker (<i>Diaporthe phaseoloru</i>	m (Cke. & Ell.)Sacc. var, caulivora	Athow & Caldwo	ell)
0	Pod and	Stem Blight (<i>Diaporthe pha</i>	seolorum (Cke. & Ell.)Sacc. var. soj	<i>jae</i> (Lehman) We	hm.)
0	Purple S	Seed Stain (<i>Cercospora kikuc</i>	hii (T. Matsu. & Tomoyasu) Garde	ner)	
0	Rhizocte	onia Root rot (<i>Rhizoctonia so</i>	<i>lani</i> Kuhn)		
Phytop	hthora Rot (<i>Phy</i>	tophthora megasperma Drecl	ns. f. sp. <i>glycinea</i> (Kuan & Erwin))		
2	race 1	2 race 8	2 race 15	1	race 22
2	race 2	2 race 9	1 race 16	2	race 23
2	race 3	2 race 10	2 race 17	2	race 24
1	race 4	2 race 11	1 race 18	1	race 25
1	race 5	1 race 12	1 race 19	0	race 26
2	race 6	2 race 13	1 race 20	0	race 27
2	race 7	1 race 14	2 race 21		Other (Please Specify)
0	Bud Blig	ht (Tobacco Ringspot Virus	•		Specgy)
0		Iosaic (Bean Yellow Mosaic			
0		Mosaic (Cowpea Chlorotic V	•		
0	•	ele (Bean Pod Mottle Virus)	11 43)		
0		ttle (Soybean Mosaic Virus)			
		ere (Soybean Mosaic Vitus)			
Nemato Sovbean		(Heterodera glycines Ichino	he)		
0	race 1		· .		44.00
	race 1	1 race 3	0 race 6	1	race 14 (former r. 4)
0	race 2	0 race 5	0 race 9		Other (<i>Please</i> Specify)
0	Lance Ne	matode (<i>Hoplolaimus colom</i>	bus Sher)		
0	Southern	Root Knot Nematode (Melo	idogyne incognita (Kofoid & White	e) Chitwood)	
0	Northern	Root Knot Nematode (Melo	idoovne hanla Chitwood)		

6

B. DISEA	SE REACTIONS Continued)	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Toferant 0 0	000
0	Peanut Root Knot Nematode (Mo	eloidogyne arenar	<i>ia</i> (Neal) Chitwoo	d)	,	
0	Reniform Nematode (Rotylenchu	lus reniformis Lit	wood & Olivera)			
0	Javanese Nematode (Meloidogyn	e javanica (Treud) Chitwood)			
	Other Nematode (Please Specify)					
C. PHYSIC	DLOGICAL RESPONSES	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Tolerant	
0	Iron Chlorosis on Calcareous Soi	1				
0	Phosphorus					
0	Boron					
0	Aluminum					
0	Salt					
0	Drought					
	Other (Please Specify)		· · · · · · · · · · · · · · · · · · ·			
D. INSECT	REACTIONS	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Tolerant	
0	Mexican Bean Beetle (Epilachna	<i>varivestis</i> Mulsant)			
0	Potato Leaf Hopper (Empoasca fa	bae (Harris))				
	Other (Please Specify)					
E. HERBIC	IDE REACTIONS	0 = Not Tested	1 = Susceptible	2 = Resistant	· · · · · · · · · · · · · · · · · · ·	
2	Metribuzin					
0	Bentazone				·	
1	Sulfonylurea					
2	Glyphosate					
~~;	Głufosinate					
0	Pendimethalin					
	Other (Please Specify)		<u> </u>			
F. TRANSG	ENIC COMPOSITION					
Tas the develop	ment of the Subject Variety includ	ed the insertion o	r removal of gene	etic material?	Vos. V v	_
f yes, please co	mplete the following informatione	quests*. Use addi	tional pages if ne	cessary.	Yes X No)
&T-470-2 (2-99) de	signed by the Plant Variety Protection Office wi	ith WordPerfect 6.0a R	eplaces LPGS-470-2.66	i-83) which is absolut	e	Page 4

F. TRANSGENIC COMPOSITION(Continued)

- 1. Please state the vector's name:
- 2. Please state the vector components:
- 3. Please describe the genetic material successfully transferred into the Subject Variety:
- 4. Please describe the insertion protocol:
- * A literature citation(s) explaining the four information requests above may be an acceptable alternative to completion of the "Transgenic Composition" portion of this form.

G. BIOCHEMICAL MARKERS

Please describe any biochemical information here which you believe will be helpful in further describing the Subject Variety (e.g. Sim Sequence Repeats, Random Fragment LengthPolymorphisms, Isozymic Characterization). Use additional pages if necessary.

H. COMMENTS

EXHIBIT D

Additional Description of AG3702 Soybean

AG3702 is a mid maturity group III variety with resistance to Roundup tm herbicide. It has very high yield potential. It has superior yields to lines of similar maturity and has excellent agronomic characteristics, including superior lodging resistance. In tests, it has beat Asgrow AG3301 by 122 % overall, winning at 6 of 6 locations. It has a major gene for Phytophthora resistance, Rps1c, conferring resistance to most races of Phytophthora Root Rot. AG3702 would be grown in the mid Group III growing areas of the corn belt, including Iowa, Illinois, Missouri, Indiana, Nebraska and Kansas. It has an excellent appearance.

EXHIBIT E

Statement of Basis of Applicant Ownership

AG3702 was originated and developed by Dr. Beth A. Holmes, an Asgrow soybean breeder. By agreement with Asgrow Seed Company LLC, all rights to any invention, discovery or development made by employees are assigned to the company. No rights of such invention, discovery or development are returned to the employee.

certificate is to be issued (7 U.S.C. 2	421). Information is held confidential
TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME
AGH36801	AG3702
5. TELEPHONE (include area code)	6. FAX (include area code)
515-232-7170	515-232-6905
7. PVPO NUMBER	- 1
2000	00086
onate block. If no, please explain.	XX YES [] NO
company?	XX YES NO
NO If no, please answer one of the f	ollowing:
original owner(s) a U.S. national(s)?	
NO If no, give name of country	
ne original owner(s) a U.S. based compan	/ ?
NO If no, give name of country	
space):	
•	
Ki es	
	OR EXPERIMENTAL NUMBER AGH36801 5. TELEPHONE (include area code) 515-232-7170 7. PVPO NUMBER 2 0 0 priate block. If no, please explain. company? NO If no, please answer one of the footinginal owner(s) a U.S. national(s)? NO If no, give name of country the original owner(s) a U.S. based company

PLEASE NOTE:

Plant variety protection can be afforded only to owners (not licensees) who meet one of the following criteria:

- 1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definition.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OM8 control number. The valid OM8 control number for this information collection is observed to compete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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